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                 Engineering Information Encompass files have new names
NEWS
         Feb 16
                 TOXLINE no longer being updated
NEWS
         Apr 23
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NEWS
         Apr 23
                 PRE-1967 REFERENCES NOW SEARCHABLE IN CAPLUS AND CA
         May 07
                 DGENE Reload
NEWS
NEWS
         Jun 20
                 Published patent applications (A1) are now in USPATFULL
NEWS
      9
         JUL 13
                 New SDI alert frequency now available in Derwent's
                 DWPI and DPCI
NEWS 10
         Aug 23
                 In-process records and more frequent updates now in
                 MEDLINE
                 PAGE IMAGES FOR 1947-1966 RECORDS IN CAPLUS AND CA
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         Aug 23
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         Aug 23
                 Adis Newsletters (ADISNEWS) now available on STN
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         Sep 17
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                 to PHARMASEARCH
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                 Korean abstracts now included in Derwent World Patents
         Oct 09
                 Index
NEWS 15
         Oct 09
                 Number of Derwent World Patents Index updates increased
NEWS 16
         Oct 15
                 Calculated properties now in the REGISTRY/ZREGISTRY File
NEWS 17
         Oct 22
                 Over 1 million reactions added to CASREACT
         Oct 22
NEWS 18
                 DGENE GETSIM has been improved
         Oct 29
NEWS 19
                 AAASD no longer available
NEWS 20 Nov 19
                 New Search Capabilities USPATFULL and USPAT2
NEWS 21
         Nov 19
                 TOXCENTER(SM) - new toxicology file now available on STN
        Nov 29
NEWS 22
                 COPPERLIT now available on STN
NEWS 23 Nov 29
                 DWPI revisions to NTIS and US Provisional Numbers
NEWS 24
         Nov 30
                 Files VETU and VETB to have open access
NEWS 25
         Dec 10
                 WPINDEX/WPIDS/WPIX New and Revised Manual Codes for 2002
NEWS 26 Dec 10 DGENE BLAST Homology Search
            August 15 CURRENT WINDOWS VERSION IS V6.0c,
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              CURRENT MACINTOSH VERSION IS V6.0 (ENG) AND V6.0J (JP),
              AND CURRENT DISCOVER FILE IS DATED 07 AUGUST 2001
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=> s soybean oil and coconut oil or canola oil or corn oil or palm oil or cottonseed oil or olive oil or peanut oil or safflower oil or sesame oil L2 94973 SOYBEAN OIL AND COCONUT OIL OR CANOLA OIL OR CORN OIL OR PALM OIL OR COTTONSEED OIL OR OLIVE OIL OR PEANUT OIL OR SAFFLOWER OIL OR SESAME OIL

=> s emulsifier or emulsion or sorbitan fatty acid or castor oil or ethoxylate L3 419779 EMULSIFIER OR EMULSION OR SORBITAN FATTY ACID OR CASTOR OIL OR ETHOXYLATE

=> s 11 and 12 and 13 L4 67 L1 AND L2 AND L3

=> s 14 and py<1999
1 FILES SEARCHED...
3 FILES SEARCHED...
L5 6 L4 AND PY<1999

=> dup rem 15
PROCESSING COMPLETED FOR L5
L6 6 DUP REM L5 (0 DUPLICATES REMOVED)

=> d 16 1-6 ab bib kwic

L6 ANSWER 1 OF 6 USPATFULL

AB A method for preventing or treating high serum levels of cholesterol and

lipids in a mammal, said method comprising orally administering an effective amount of natural lycopene to prevent or treat high serum levels of cholesterol or lipids to a mammal in need of such treatment. Also disclosed is an oral pharmaceutical composition in unit dosage

form

for oral administration for the prevention or treatment of high serum

levels of cholesterol and/or lipids in a mammal, said composition comprising an effective amount of natural lycopene to prevent or treat high serum levels of cholesterol or lipids in a mammal and in a sufficient amount to achieve a level of serum cholesterol of less than 200 mg per deciliter over the course of treatment, and a pharmaceutically acceptable carrier therefor. AN 2001:112374 USPATFULL TΙ Methods of preventing and/or treating high serum levels of cholesterol and/or lipids Clark, James P., Naperville, IL, United States
Dunker, Manfred S., Palos Park, IL, United States
Henkel Corporation, Gulph Mills, PA, United States (U.S. corporation) IN PΑ PΙ US 6262109 В1 20010717 WO 9619217 19960627 US 1997-849977 ΑI 19970822 (8) WO 1995-US16774 19951222 19970822 PCT 371 date 19970822 PCT 102(e) date DT Utility FS GRANTED EXNAM Primary Examiner: Criares, Theodore J. LREP Drach, John E., Murphy, Glenn J., Ettelman, Aaron R. CLMN Number of Claims: 7 ECL Exemplary Claim: 1 DRWN No Drawings LN.CNT 330 CAS INDEXING IS AVAILABLE FOR THIS PATENT. PΙ US 6262109 20010717 В1 WO 9619217 19960627 SUMM The drugs which are being used in these studies are, for example, clofibrate, gemfibrozil, fenofibrate and bezafibrate or a combination of cholestyramine and niacin. These reports clearly support the theory that lowering of serum cholesterol. SUMM . natural tocopherol, especially alpha-tocopherol, with or without natural beta-carotene. The natural beta-carotene is preferably obtained from natural sources, such as palm oil or algae. SUMM . . . natural tocopherols are derived from vegetable oils. Soy oil is the most widely used source. Sunflower, corn, peanut, rapeseed and cottonseed oils may also be used. Natural tocotrienol and natural tocopherols are very different from that produced by chemical synthesis, i.e., synthetic. SUMM carrier. Thus, for example, when administered orally, the active ingredient is formulated in the form of soft gelatin capsule, elixir, emulsion and the like employing methods well known in the art. Suitable formulations and formulation techniques can be found in Remington's. DETD About 5 mg units of lycopene is mixed in a suitable blender with about 450 mg of peanut oil. It is then dispensed in the form of soft gelatin capsule. L6 ANSWER 2 OF 6 USPATFULL AΒ The present invention relates to novel tocotrienols and tocotrienol-like compounds displaying biological activity. The tocotrienols and tocotrienol-like compounds of this invention may be conveniently obtained from biological sources or by chemical synthesis and may be used in pharmaceutical compositions, foodstuffs and dietary

supplements.

```
This invention also relates to the use of tocotrienols,
tocotrienol-like
      compounds, and mixtures thereof, as hypocholesterolemic,
antithrombotic,
      antioxidizing, antiatherogenic, antiinflammatory and immunoregulatory
      agents, or as agents useful to decrease lipoprotein (a) concentration
in
      the blood or to increase feed conversion efficiency.
      1998:124588 USPATFULL
AN
      Tocotrienols and tocotrienol-like compounds and methods for their use
TI
IN
      Lane, Ronald H., Phoenix, AZ, United States
      Qureshi, Asaf A., Madison, WI, United States
      Salser, Winston A., Pacific Palisades, CA, United States
      LipoGenics, Inc., Scottsdale, AZ, United States (U.S. corporation)
PΑ
ΡI
      US 5821264
                              19981013
      US 1996-719284
ΑI
                              19960924 (8)
      Continuation of Ser. No. US 1994-244215, filed on 15 Aug 1994, now
RLI
      patented, Pat. No. US 5591772 which is a continuation-in-part of Ser.
      No. US 1991-796486, filed on 22 Nov 1991, now abandoned
DT
      Utility
FS
      Granted
      Primary Examiner: Raymond, Richard L.
EXNAM
LREP
      Lyon & Lyon LLP
      Number of Claims: 32
CLMN
ECL
      Exemplary Claim: 1
DRWN
      14 Drawing Figure(s); 13 Drawing Page(s)
LN.CNT 3191
CAS INDEXING IS AVAILABLE FOR THIS PATENT.
PΙ
      US 5821264
                              19981013
       . . . ("T"). Tocotrienols and tocopherols occur naturally in small
SUMM
      quantities in a wide variety of plant sources, such as rice bran,
      palm oil and barley (A. A. Qureshi et al., "Lowering
      of Serum Cholesterol in Hypercholesterolemic Humans by Tocotrienols
      SUMM
      confirmed the impact of pure tocotrienols, isolated from barley, oats
      and palm oil, on cholesterol biosynthesis,
      specifically LDL-cholesterol (A. A. Qureshi et al., "Dietary
      Tocotrienols Reduce Concentrations of Plasma Cholesterol,
Apolipoprotein
      B, Thromboxane. . . By Tocotrienols (Palmvitee)", Am. J. Clin.
Nutr.,
      53, pp. 1021S-26S (1991); D. T. S. Tan et al., "The Effect Of
      Palm Oil Vitamin E Concentrate On The Serum And
      Lipoprotein Lipids In Humans", Am. J. Clin. Nutr., 53, pp. 10278-308
       (1991)). In.
      TRF Standard--A tocotrienol-rich fraction (TRF) obtained from
DETD
      palm oil (A. A. Qureshi et al. (1991), supra). The TRF
      Standard contains varying amounts of .alpha.-, .gamma.- and
      .delta.-tocotrienol and .alpha.-tocopherol. .
DETD
      . . . milkweed, flax, sesame, rice bran, parboiled brown rice, brown
      rice flour, olives, vegetable oil distillant, fruit concentrate
      evaporate, barley bran, palm oil, wheat germ oil,
      rice bran oil, barley oil, coconut oil,
      cottonseed oil, soybean oil, other
      cereal grains and other cereal grain oils, plant tissues, flowers,
      bushes (such as juniper), trees (such as pine and.
DETD
      Pharmaceutical compositions may take the form of tablets, capsules,
      emulsions, suspensions and powders for oral administration,
      sterile solutions or emulsions for parenteral administration,
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```
sterile solutions for intravenous administration and gels, lotions and
      cremes for topical application. The pharmaceutical compositions may. .
DETD
       . . . thereof, and a pharmaceutically acceptable carrier. Such
      carriers may be solid or liquid, such as, for example, cornstarch,
      lactose, sucrose, olive oil or sesame
      oil. If a solid carrier is used, the dosage forms may be
      tablets, capsules or lozenges. Liquid dosage forms include soft. . .
DETD
          . . used in combination with bile acid sequestrants, such as
      Cholestyramine and Colestipol; fibric acid derivatives, such as,
      Clofibrate, Gamfibrozil, Bezafibrate, Fenofibrate, and
      Ciprofibrate; HMGR inhibitors, such as Lovastatin, Mevastatin,
      Pravastatin, Simvastatin and SRI-62320; Probucol; Nicotinic Acid; its
      derivatives and conjugates, such. . .
DETD
Ingredients
                 Weight (g)
Corn (8.8% protein)
                 615.0
Soybean Meal
                 335.0
 Corn Oil
                   10.0
Calcium Carbonate
                 10.0
Dicalcium Phosphate
Iodized Salt
                 5.0
Mineral Mixture.sup.a
                 2.5
Vitamin Mixture.sup.b
                 2.5
 .sup.a Mineral mixture contained per kg feed: zinc sulfate.H.sub.2.
DETD
       . . of the chicken mash diet containing a 5% supplement of various
      oils. The control diet included a supplement of 5% corn
      oil. After 4 weeks, the birds were fasted for 36 hours and then
      refed for 48 hours prior to sacrifice (at. . .
DETD
                                              . Glucose
 Chick Diet + 5.0%
            185.1 .+-. 5.1.sup.A
                  110.3 .+-. 4.95.sup.A
                         61.9 .+-. 4.49.sup.A
                               90.2 .+-. 2.17.sup.A
                                       124.6 .+-. 2.30.sup.A
   Corn Oil (CDC)
            (100.0).sup.2
                  (100.0).sup.2
                         (100.0).sup.2
                               (100.0).sup.2
                                       (100.0).sup.2
2)
 Chick Diet + 5.0%
           129.7 .+-. 4.1.sup.B
                  99.8 .+-. 3.57.sup.A
                         27.9 .+-. 2.60.sup.B
                               84.5. . .
DETD
                                           . . (nmoles/mg/min).sup.3
```

```
1)
  Chick Diet + 5.0%
           185.1 .+-. 4.12.sup.A
                   110.3 .+-. 4.95.sup.A
                          61.9 .+-. 1.49.sup.A
                                344.3 .+-. 1.49.sup.A
                                         0.855 .+-. 0.084.sup.A
    Corn Oil (CDCO)
           (100.0).sup.4
                   (100.0).sup.4
                          (100.0).sup.4
                                (100.0).sup.4
                                         (100.0).sup.4
2)
  Chick Diet + 5.0%
           184.7 .+-. 6.50.sup.A
                  109.6 .+-. 2.83.sup.A
61.7 .+-. 1.71.sup.A
                                339.3 .+-. 19.7.sup.A
                                         0.837 .+-. 0.081.sup.A
    Corn Oil + Waxes;
           (99.8) (99.4) (99.7)
                                (98.5) (97.9)
  50 ppm
3)
  Chick Diet + 5.0%
           173.8 .+-. 7.31.sup.A
                   106.2 .+-. 4.69.sup.A
                          58.1 .+-. 1.77.sup.A
                                317.1 .+-. 14.4.sup.A,B
                                        0.846 .+-. 0.072.sup.A
    Corn Oil + Waxes;
           (93.9) (96.3) (93.9)
                                (92.1) (98.9)
  5,000 ppm
  Chick Diet + 5.0%
           165.9 .+-. 4.90.sup.B
                  108.5 .+-. 4.68.sup.A
                          57.9 .+-. 1.48.sup.A
                                304.5 .+-. 14.4.sup.B
                                        0.902 .+-. 0.080.sup.A
    Corn Oil + Waxes;
           (89.6) (98.4) (93.5)
                              (88.4) (105.5)
  10,000 ppm
5)
  Chick Diet + 5.0%
           134.8 .+-. 3.82.sup.C
                  104.3 .+-. 3.99.sup.A
                          25.9 .+-. 1.02.sup.B
                                276.0 .+-. 17.4.sup.C
                                        1.068 .+-. 0.047.sup.B
    Corn Oil +
           (72.8) (94.6) (41.8)
                                (80.2) (124.9)
  Tocotrienol-Rich-
  Fraction; 50 ppm
 Chick Diet + 5.0%
```

```
104.0 .+-. 4.57.sup.A
DETD
                                            . . (ng/ml)
1)
 Chick Diet + 5.0%
           61.4 .+-. 2.4.sup.A
                   90.2 .+-. 1.17.sup.A
                          124.6 .+-. 2.3.sup.A
                                  16.7 .+-. 1.69.sup.A
                                          7.2 .+-. 0.48.sup.A
    Corn Oil (CDCO)
           (100.0).sup.3
                   (100.0).sup.3
                           (100.0).sup.3
                                  (100.0).sup.3
                                          (100.0).sup.3
2)
 Chick Diet + 5.0%
           62.5 .+-. 1.9.sup.A
                   91.5 + 1.48.sup.A
                          126.7 .+-. 2.1.sup.A
                                  15.8 .+-. 1.29.sup.A
                                          7.5 .+-. 0.42.sup.A
    Corn Oil + Waxes;
           (102.0).sup.3
                   (101.4).sup.3
                           (101.7).sup.3
                                  (94.6).sup.3
                                          (104.2).sup.3
  50 ppm
3)
  Chick Diet + 5.0%
           63.6 .+-. 2.8.sup.B
                   95.2 .+-. 1.01.sup.A
                          123.9 .+-. 1.52.sup.A
                                  16.4 .+-. 1.66.sup.A
                                          7.4 .+-. 0.36.sup.A
    Corn oil + Waxes;
           (103.8).sup.3
                   (105.5).sup.3
                           (99.4).sup.3
                                  (98.2).sup.3
                                          (102.8).sup.3
  5,000 ppm
4)
  Chick Diet + 5.0%;
           60.4 .+-. 1.9.sup.A
                   96.1 .+-. 1.90.sup.A
                          124.3 .+-. 1.18.sup.A
                                  16.8 + 1.67.sup.A
                                          7.4 .+-. 0.87.sup.A
    Corn oil + Waxes;
           (98.5).sup..sup.3
                   (106.5).sup.3
                           (99.8).sup.3
                                  (100.6).sup.3
                                          (102.8).sup.3
  10,000 ppm
```

180.2 .+-. 6.01.sup.A

```
5)
  Chick Diet + 5.0%
           68.5 .+-. 2.1.sup.B
                   73.2 .+-. 1.69.sup.B
                          86.4 .+-. 1.55.sup.B
                                 12.4 .+-. 1.42.sup.B
                                          5.7 .+-. 0.64.sup.B
    Corn oil +
           (111.7).sup.3
                   (81.2).sup.3
                          (69.3).sup.3
                                  (74.3).sup.3
                                          (79.2).sup.3
  Tocotrienol-Rich-
  Fraction; 50 ppm
  Chick Diet + 5.0%
           65.8 .+-. 1.2.sup.B
                   89.5 + 1.21.sup.A
L6
     ANSWER 3 OF 6 USPATFULL
AB
       Ergostanone derivatives substituted with dissaccharides are cholesterol
       absorption inhibitors useful in the treatment of hypercholesterolemia
       and related disorders. These cholesterol absorption inhibitors may be
       employed alone or in combination with other cholesterol lowering
agents.
       97:118019 USPATFULL
ΑN
ΤI
       Steroidal glycosides as antihyperlipidemic agents
IN
       Kim, Dooseop, Westfield, NJ, United States
PΑ
       Merck & Co., Inc., Rahway, NJ, United States (U.S. corporation)
ΡI
       US 5698527
                               19971216
       US 1996-688582
ΑI
                               19960730 (8)
DT
       Utility
FS
       Granted
EXNAM
       Primary Examiner: Owens, Amelia
LREP
       Quagliato, Carol S, Winokur, Melvin
CLMN
       Number of Claims: 13
ECL
       Exemplary Claim: 1
DRWN
       No Drawings
LN.CNT 1307
CAS INDEXING IS AVAILABLE FOR THIS PATENT.
PΙ
       US 5698527
                               19971216
          . . lipid deposition in the rabbit aorta. Male New Zealand white
SUMM
       rabbits are fed a diet containing 0.4% cholesterol and 5% peanut
       oil for 1 week (meal-fed once a day). After 1 week, the rabbits
       are dosed daily with the desired concentration of.
SUMM
         . . forms as tablets, capsules (each including timed release and
       sustained release formulations), pills, powders, granules, elixirs,
       tinctures, suspensions, syrups and emulsions. Likewise, they
       may also be administered in intravenous (both bolus and infusion),
       intraperitoneal, subcutaneous or intramuscular form, all using forms.
SUMM
       . . . synthetase inhibitors (also known as squalene synthase
       inhibitors), acylcoenzyme A: cholesterol acyltransferase (ACAT)
       inhibitors; probucol; niacin; fibrates such as clofibrate,
       fenofibrate, and gemfibrizol; bile acid sequestrants; LDL (low
       density lipoprotein) receptor inducers; vitamin B.sub.6 (also known as
       pyridoxine) and the pharmaceutically.
```

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SUMM
                                         1000 mg probucol, up to 2 g clofibrate, 0.5 to 8 g of niacin,
                  800 to 1500 mg gemfibrozil or fenofibrate, or 20 to 300 mg of
                  an LDL receptor gene inducer.
1.6
             ANSWER 4 OF 6 USPATFULL
AB
                  Therapeutic compositions comprising an effective amount of at least one
                  carbonyl trapping agent alone or in combination with a therapeutically
                  effective of a co-agent or medicament are disclosed. The compositions
                  are used to treat a mammal suffering from a neurological disease
                  characterized by covalent bond crosslinking between the nerve cells,
                  other cellular structures and their intracellular and extracellular
                  components, with disease induced carbonyl-containing aliphatic or
                  aromatic hydrocarbons present in mammals.
                  97:83944 USPATFULL
ΑN
                  Methods of treating neurological diseases and etiologically related
TI
                  symptomology using carbonyl trapping agents in combination with
                  previously known medicaments
IN
                  Shapiro, Howard K., 214 Price Ave. F32, Narberth, PA, United States
                  19072
                  US 5668117
ΡI
                                                                                  19970916
                  US 1993-62201
ΑI
                                                                                  19930629 (8)
                  Continuation-in-part of Ser. No. US 1993-26617, filed on 23 Feb 1993,
RLI
                  now abandoned which is a continuation of Ser. No. US 1991-660561, filed
                  on 22 Feb 1991, now abandoned
DΤ
                  Utility
FS
                  Granted
EXNAM
                  Primary Examiner: Kight, John; Assistant Examiner: Leary, Louise
LREP
                  Perrella, D. J.
CLMN
                  Number of Claims: 29
ECL
                  Exemplary Claim: 1
DRWN
                  No Drawings
LN.CNT 3963
CAS INDEXING IS AVAILABLE FOR THIS PATENT.
PΙ
                  US 5668117
                                                                                  19970916
                                          Grundy, 1990), bezafibrate (Olsson and Lang, 1978a; Olsson and
SUMM
                  Lang, 1978b; Zimmermann and coworkers, 1978; Monk and Todd, 1987) and
                  fenofibrate (Elsom and coworkers, 1976; Wulfert and coworkers,
                  1976); metformin (Hermann, 1979); guar gum (Lalor and coworkers, 1990);
                  3-hydroxy-3-methylglutaryl-CoA reductase inhibitors.
                  . . . for patients suffering from these chronic, age-related % \left( 1\right) =\left( 1\right) \left( 1\right) +\left( 1\right) \left( 1\right) \left( 1\right) +\left( 1\right) \left( 1
SUMM
                  diseases. Previously recognized drugs for treatment of atherosclerosis
                  include hypolipidemic agents such as fenofibrate (Elsom and
                  coworkers, 1976; Wulfert and coworkers, 1976), bezafibrate (Olsson and
                  Lang, 1978a; Olsson and Lang, 1978b; Zimmermann and coworkers,.
SUMM
                                . acetate, generating primary amine groups still covalently
bound
                  to the polysaccharide. Chitosan has recognized uses in water treatment,
                  in photographic emulsions, and in improving the dyability of
                  synthetic fabrics and fibers. The free amine groups in this substance
                  also give it.
SUMM
                  The ingredients as listed on the label are: "dicalcium phosphate,
                  d-calcium pantothenate, pyridoxine hydrochloride, hydrogenated
                  cottonseed oil, cellulose, niacinamide, rifoflavin,
                  thiamine mono-nitrtate, stearic acid, modified cellulose gum, magnesium
                  stearate, silica, resin, gum acacia, hydroxypropylcellulose, rice bran,
                  yeast,.
DETD
                  fenofibrate, dosage range from 40 mg daily to 500 mg daily;
DETD
```

fenofibrate, dosage range from 40 mg daily to 500 mg daily;

L6

ANSWER 5 OF 6 USPATFULL

```
There is provided a carrier for hydrophobic drugs, and pharmaceutical
        compositions based thereon, which carrier comprises a digestible oil
and
        a pharmaceutically acceptable surfactant component for dispersing the
        oil in vivo upon administration of the carrier, which comprises a
        hydrophilic surfactant, said surfactant component being such as not to
        substantially inhibit the in vivo lipolysis of the digestible oil.
AN
        97:58921 USPATFULL
TI
        Delivery systems for hydrophobic drugs
ΙN
       Lacy, Jonathan Ernest, Swindon, United Kingdom
       Embleton, Jonathan Kenneth, Berkshire, United Kingdom R. P. Scherer Corporation, Troy, MI, United States (U.S. corporation)
PA
       US 5645856
ΡI
                                 19970708
                                                                        <--
       WO 9524893 19950921
       US 1995-446874
ΑT
                                 19950606 (8)
       WO 1995-GB561
                                 19950316
                                 19950606 PCT 371 date
                                 19950606 PCT 102(e) date
PRAI
       GB 1994-5304
                            19940316
DT
       Utility
FS
       Granted
       Primary Examiner: Page, Thurman K.; Assistant Examiner: Spear, James M.
EXNAM
LREP
       Pearne, Gordon, McCoy & Granger LLP
CLMN
       Number of Claims: 23
ECL
       Exemplary Claim: 1
DRWN
       3 Drawing Figure(s); 3 Drawing Page(s)
LN.CNT 1382
CAS INDEXING IS AVAILABLE FOR THIS PATENT.
PΙ
       US 5645856
                                 19970708
                                                                       <--
       WO 9524893 19950921
                                                                       <--
SUMM
              . include a surfactant component. Lipophilic surfactants (i.e.
       \mbox{HLB}\mbox{<10}) are capable of promoting some emulsification of the oil but the
       resulting emulsions are normally too crude, in terms of size,
       to be useful. Hydrophilic surfactants (i.e. HLB>10) are much superior
       with respect to forming oil-in-water (o/w) emulsions and can
       be used to produce fine, uniform emulsions which are more
       likely to empty rapidly and uniformly from the stomach and coupled with
       a very large surface area.
DRWD
       6. Castor oil ethoxylates (low
       ethoxylate content, HLB<10) e.g.
             Etocas 5
                            (5 moles of ethylene oxide reacted with
       1 mole of castor oil) Sandoxylate 5 (5 moles of
       ethylene oxide reacted with
                                                  1 mole of castor
       7. Acid and ester ethoxylates -- formed by reacting ethylene
DRWD
       oxide with fatty acids or glycerol esters of fatty acids (HLB<10)
       e.q.
                                                   Crodet 04
       (polyoxyethylene (4) lauric acid)Cithrol.
       . . . of natural or hydrogenated vegetable oil triglyceride and a
DRWD
       polyalkylene polyol (HLB<10)
e.g._
                                            L
       abrafil M1944CS
                                       (polyoxyethylated apricot kernal
       oil)Labrafil M2125CS
                                            (polyoxyethylated corn
       oil) Gelucire 37/06 (polyoxyethylated hydrogenated
DRWD
       2. Polyoxyethylene sorbitan fatty acid
       derivates e.g._
                                                             Tween 20
       (polyoxyethylene (20) monolaureate) Tween 80
                                                           (polyoxyethylene (20)
       monooleate)Crillet 4
                                 (polyoxyethylene (20) monooleate) Montanox 40
       (polyoxyethylene (20)
monopalmitate)
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AΒ

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DRWD
       3. Castor oil or hydrogenated caster oil
       ethoxylates (HLB>10) e.g.
                                                                         C
       remophor EL
                     (polyoxyethylene (35) castor oil
       )Cremophor RH40 (polyoxyethylene (40) hydrogenated
       castor oil)Etocas 40
                                   (polyoxyethylene (40)
       castor oil) Nikkol HCO-60
                                 (polyoxyethylene (60)
       hydrogenated
                                   castor oil
DRWD
       4. Fatty acid ethoxylates (HLB>10)
                                                   Myrj 45
(polyoxyethylene
       (8) stearate) Tagat L
                                   (polyoxyethylene (30) monolaurate) Marlosol
       1820 (polyoxyethylene (20) stearate) Marlosol OL15 (polyoxyethylene (15)
       oleate)
DRWD
       5. Alcohol ethoxylates (HLB>10) e.g.
                                   (polyoxyethylene (10) oleyl ether) Volpo 015
                    Brij 96
       (polyoxyethylene (15) oleyl ether) Marlowet OA30
                                                          (polyoxyethylene (30)
       oleyl ether) Marlowet LMA20 (polyoxyethylene (20). .
DRWD
       . . . oils which may be used include those containing saturated
       C.sub.6 -C.sub.12 fatty acids, for instance fractionated vegetable oils e.g. fractionated coconut oils. Specific examples of
       useful capric and/or caprylic triglyceride oils include: Miglyol 810,
       Miglyol 812, Neobee M5, Neobee 0, Captex 300,.
       Lipid regulating agents: bezafibrate, clofibrate, fenofibrate,
DRWD
       gemfibrozil, probucol.
DRWD
       In the stomach the oil is physically emulsified with gastric juice to
       form an oil-in-water (o/w) emulsion. Hydrophobic drugs will
       reside predominantly within the dispersed (i.e. oil) phase of this
       emulsion as either a solution or partial suspension.
DRWD
       The o/w emulsion is not digested to any significant extent in
       the stomach with the result that the hydrophobic drug will enter the.
DRWD
       . . . much higher solubilising power for hydrophobic drugs than pure
       bile salt micelies. This is illustrated with the hydrophobic
       antihyperlipoproteinemic drug fenofibrate which we have shown
       is >20 times more soluble in mixed micelles than simple bile salt
       micelies.
       Effects of a Hydrophilic Surfactant on the Lipolysis Rate for
DETD
       Fractionated Coconut Oil (FCO) in the absence of a
       Lipophilic Surfactant
DETD
       The drugs investigated using this method were: Carbamazepine,
       griseofulvin, fenofibrate and probucol.
Solubility (Relative to Buffer)
Experiment
        Carbamazepine
                   Griseofulvin
                                 Fenofibrate
                                       Probucol
                    1
                               1
ii
        1.1
                    4.6
                               38.5
                                        >71.0
iii
        2.6
                    7.4
                                       >320.0
                               188.5
        2.7
                    6.6
                               930.0
                                        >77.0
*Buffer solubility of.
Component
```

mg/cap % w/w

Described and the state of			-
Fractionated co	190	17.19	
Imwitor 988	285	25.79	
Cremophor RH40	285	25.79	
Maisine 35-1	95	8.60	
Ethanol	200	18.10	
Progesterone	50	4.52	
TOTAL	1105	100	
101711	1103	100	
DETD			-
Polysorb	ate 80		
	275 mg		
	Priolene 275 mg		
Soybean Oil			
	185 mg		
Triaceti			
	185 mg		
Fenofibrate			
	80 mg		
DETD	-		-
Cremophor RH40	300 mg	***	
Fractionated Co			
	240 mg		
Maisine	200 mg		
Imwitor 988	110 mg		
Ethanol	100 mg		
Progesterone	50 mg		
DETD			
Cremophor RH40	225 mg		
Fractionated Co			
	315 mg		
Crill 1	360 mg		
Griseofulvin	100 mg		
DETD			-
Polysorb	ate 80		
1	280 mg		•
Soybea	-		
-	340 mg		
Priolene			
Probucol			
			_
DETD			
Labrasol	330 mg		
Fractionated Co			
Dhanat at a	120 mg		
Phenytoin	50 mg		
DETD			-
Component	Concentrat	ion (% w/w)	
<u></u>		(• "/ "/	
Progesterone	4		=
Fractionated co	conut oil		
	16		
Cremophor RH40	28		
Lauroglycol	37		
Ethanol	15		

DETD Concentration (% w/w) Component Progesterone 4 Soybean oil 16 Tween 80 20 Imwitor 988 4.5 Ethanol 1.5 CLM What is claimed is: 5. A pharmaceutical composition according to claims 2, 3 or 4 wherein said hydrophilic surfactant component comprises a castor oil or hydrogenated castor ethoxylate having an HLB value greater than 10. 6. A pharmaceutical composition according to claim 5, wherein said hydrophilic surfactant component comprises a polyoxyethylene hydrogenated castor oil. L6 ANSWER 6 OF 6 USPATFULL AB The present invention relates to novel tocotrienols and tocotrienol-like compounds displaying biological activity. The tocotrienols and tocotrienol-like compounds of this invention may be conveniently obtained from biological sources or by chemical synthesis and may be used in pharmaceutical compositions, foodstuffs and dietary supplements. This invention also relates to the use of tocotrienols, tocotrienol-like compounds, and mixtures thereof, as hypocholesterolemic, antithrombotic, antioxidizing, antiatherogenic, antiinflammatory and immunoregulatory agents, or as agents useful to decrease lipoprotein (a) concentration in the blood or to increase feed conversion efficiency. ΑN 97:1493 USPATFULL ΤI Tocotrienols and tocotrienol-like compounds and methods for their use IN Lane, Ronald H., Phoenix, AZ, United States Qureshi, Asaf A., Madison, WI, United States Salser, Winston A., Pacific Palisades, CA, United States PA Lipogenics, Inc., Scottsdale, AZ, United States (U.S. corporation) PΙ US 5591772 19970107 <--WO 9309777 19930527 <--US 1994-244215 ΑI 19940815 (8) WO 1992-US10277 19921120 19940815 PCT 371 date 19940815 PCT 102(e) date RLI Continuation-in-part of Ser. No. US 1991-796486, filed on 22 Nov 1991, now abandoned DT Utility FS Granted EXNAM Primary Examiner: Raymond, Richard L. LREP Lyon & Lyon

CLMN

DRWN

LN.CNT 3224

ECL

Number of Claims: 39

Exemplary Claim: 1,2,3

CAS INDEXING IS AVAILABLE FOR THIS PATENT.

14 Drawing Figure(s); 13 Drawing Page(s)

<--WO 9309777 19930527 SUMM . . . ("T"). Tocotrienols and tocopherols occur naturally in small quantities in a wide variety of plant sources, such as rice bran, palm oil and barley (A. A. Qureshi et al., "Lowering of Serum Cholesterol in Hypercholesterolemic Humans by Tocotrienols (Palmvitee)", Am. J. Clin.. al. (1986), Supra. Various human and animal studies have SUMM confirmed the impact of pure tocotrienols, isolated from barley, oats and palm oil, on cholesterol biosynthesis, specifically LDL-cholesterol (A. A. Qureshi et al., "Dietary Tocotrienols Reduce Concentrations of Plasma Cholesterol, Apolipoprotein B, Thromboxane. . . Humans By Tocotrienols (Palmvitee)", Am. J. Clin. Nutro, 53, pp. 1021S-26S (1991); D.T.S. Tan et al , "The Effect Of Palm Oil Vitamin E Concentrate On The Serum And Lipoprotein Lipids In Humans", Am. J. Clin. Nutr., 53, pp. 1027S-30S (1991)). In. . . DETD TRF Standard--A tocotrienol-rich fraction (TRF) obtained from palm oil (A. A. Qureshi et al. (1991), supra). The TRF Standard contains varying amounts of .alpha.-, .gamma.- and .delta.-tocotrienol and .alpha.-tocopherol. . . .
. . milkweed, flax, sesame, rice bran, parboiled brown rice, brown DETD rice flour, olives, vegetable oil distillant, fruit concentrate evaporate, barley bran, palm oil, wheat germ oil, rice bran oil, barley oil, coconut oil, cottonseed oil, soybean oil, other cereal grains and other cereal grain oils, plant tissues, flowers, bushes (such as juniper), trees (such as pine and. DETD Pharmaceutical compositions may take the form of tablets, capsules, emulsions, suspensions and powders for oral administration, sterile solutions or emulsions for parenteral administration, sterile solutions for intravenous administration and gels, lotions and cremes for topical application. The pharmaceutical compositions may. . DETD . . . thereof, and a pharmaceutically acceptable carrier. Such carriers may be solid or liquid, such as, for example, cornstarch, lactose, sucrose, olive oil or sesame oil. If a solid carrier is used, the dosage forms may be tablets, capsules or lozenges. Liquid dosage forms include soft. . . DETD . . used in combination with bile acid sequestrants, such as Cholestyramine and Colestipol; fibric acid derivatives, such as, Clofibrate, Gamfibrozil, Bezafibrate, Fenofibrate, and Ciprofibrate; HMGR inhibitors, such as Lovastatin, Mevastatin, Pravastatin, Simvastatin and SRI-62320; Probucol; Nicotinic Acid; its derivatives and conjugates, such. . . DETD Ingredients Weight (g) Corn (8.8% protein) 615.0 Soybean Meal Corn Oil

19970107

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PΙ

US 5591772

Calcium Carbonate 10.0 Dicalcium Phosphate

Mineral Mixture.sup.a

Iodized Salt

20.0

5.0

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2.5
 .sup.1 Mineral mixture contained per kg feed: zinc sulfate.H.sub.2. . .
       . . of the chicken mash diet containing a 5% supplement of various
DETD
       oils. The control diet included a supplement of 5% corn
       oil. After 4 weeks, the birds were fasted for 36 hours and then
       refed for 48 hours prior to sacrifice (at. . .
DETD
                                                    Glucose
\overline{1}
  Chick Diet + 5.0%
              185.1 .+-. 1.5.sup.A
                      110.3 .+-. 4.95.sup.A
                             61.9 .+-. 4.49.sup.A
                                     90.2 .+-. 2.17.sup.A
                                             124.6 .+-. 2.30.sup.A
    Corn Oil (CDC)
               (100.0).sup.2
                      (11\bar{0}.0).sup.2
                             (100.0).sup.2
                                     (100.0).sup.2
                                             (100.0).sup.2
2)
  Chick Diet + 5.0%
              129.7 .+-. 4.1.sup.B
                       99.8 .+-. 3.57.sup.A
                             27.9 .+-. 2.60.sup.B
                                     84.5.
DETD
                                                     (nmoles/mg/min).sup.3
\overline{1}
  Chick Diet + 5.0%
              185.1 :+-. 4.12.sup.A
                      110.3 .+-. 4.95.sup.A
                             61.9 .+-. 1.49.sup.A
                                     344.3 .+-. 1.49.sup.A
                                              0.855 .+-. 0.084.sup.A
    Corn Oil (CDCO)
               (100.0).sup.4
                      (100.0).sup.4
                             (100.0).sup.4
                                     (100.0).sup.4
                                              (100.0).sup.4
2)
  Chick Diet + 5.0%
              184.7 .+-. 6.50.sup.A
                      109.6 .+-. 2.83.sup.A
                             61.7 .+-. 1.71.sup.A
                                     339.3 .+-. 19.7.sup.A
                                              0.837 .+-. 0.081.sup.A
    Corn Oil + Waxes;
              (99.8) (99.4) (99.7) (98.5)
                                              (97.9)
  50 ppm
3)
 Chick Diet + 5.0%
              173.8 .+-. 7.31.sup.A
                      106.2 .+-. 4.69.sup.A
```

58.1 .+-. 1.77.sup.A

Vitamin Mixture.sup.b

```
317.1 .+-. 14.4.sup.A,B
                                              0.846 .+-. 0.072.sup.A
    Corn Oil + Waxes;
               (93.9) (96.3) (93.9) (92.1)
                                             (98.9)
  5,000 ppm
4)
  Chick Diet + 5.0%
               165.9 .+-. 4.90.sup.B
                      108.5 .+-. 4.68.sup.A
                             57.9 .+-. 1.48.sup.A
                                     304.5 .+-. 14.4.sup.B
                                              0.902 .+-. 0.080.sup.A
    Corn Oil + Waxes;
               (89.6) (98.4) (93.5) (88.4)
                                              (105.5)
  10,000 ppm
5)
  Chick Diet + 5.0%
              134.8 .+-. 3.82.sup.C
                      104.3 .+-. 3.99.sup.A
                             25.9 .+-. 1.02.sup.B
                                     276.0 .+-. 17.4.sup.C
                                              1.068 .+-. 0.047.sup.B
    Corn Oil + (72.8) (94.6) (41.8) (80.2)
                                                (124.9)
  Tocotrienol-Rich-
  Fraction; 50 ppm
  Chick Diet + 5.0%
              180.2 .+-. 6.01.sup.A
                      104.0 .+-. 4.57.sup.A
DETD
                                           \dots (ng/ml)
1)
  Chick Diet + 5.0%
              61.4 .+-. 2.4.sup.A
                        90.2 .+-. 1.17.sup.A
                               124.6 .+-. 2.3.sup.A
16.7 .+-. 1.69.sup.A
                                                7.2 .+-. 0.48.sup.A
    Corn Oil (CDCO)
               (100.0).sup.3
                        (100.0).sup.3
                               (100.0).sup.3
                                       (100.0).sup.3
                                                (100.0).sup.3
  Chick Diet + 5.0%
              62.5 .+-. 1.9.sup.A
                        91.5 .+-. 1.48.sup.A
                               126.7 .+-. 2.1.sup.A
                                      15.8 .+-. 1.29.sup.A
                                                7.5 .+-. 0.42.sup.A
    Corn Oil + Waxes;
               (102.0).sup.3
                        (101.4).sup.3
                               (101.7).sup.3
                                       (94.6).sup.3
                                                (104.2).sup.3
  50 ppm
3)
```

```
Chick Diet + 5.0%
              63.6 .+-. 2.8.sup.B
                        95.2 .+-. 1.01.sup.A
                               123.9 .+-. 1.52.sup.A
                                      16.4 .+-. 1.66.sup.A
                                                7.4 .+-. 0.36.sup.A
   Corn Oil + Waxes;
              (103.8).sup.3
                        (105.5).sup.3
                               (99.4).sup.3
                                       (98.2).sup.3
                                                (102.8).sup.3
  5,000 ppm
4)
 Chick Diet + 5.0%
              60.4 .+-. 1.9.sup.A
                        96.1 .+-. 1.90.sup.A
                               124.3 .+-. 1.18.sup.A
                                      16.8 .+-. 1.67.sup.A
                                                7.4 .+-. 0.87.sup.A
   Corn Oil + Waxes;
              (98.5).sup.3
                        (106.5).sup.3
                               (99.8).sup.3
                                       (100.6).sup.3
                                                (102.8).sup.3
 10,000 ppm
5)
 Chick Diet + 5.0%
              68.5 .+-. 2.1.sup.B
                        73.2 .+-. 1.69.sup.B
                                86.4 .+-. 1.55.sup.B
                                      12.4 .+-. 1.42.sup.B
5.7 .+-. 0.64.sup.B
   Corn Oil + (111.7).sup.3
                        (81.2).sup.3
                               (69.3).sup.3
                                       (74.3).sup.3
                                                (79.2).sup.3
  Tocotrienol-Rich-
 Fraction; 50 ppm
 Chick Diet + 5.0%
              65.8 .+-. 1.2.sup.B
                       89.5 .+-. 1.21.sup.A
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